## Adapting an ASR Foundation Model for Spoken Language Assessment



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**Proposed Method: Soft Prompt Tuning (SPT)** 

v<sub>0</sub>

**Training Target** 

EN
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**Transformer Decoder Blocks** 

**Decoder Input** 

TRANS- NO TIME-SOT ΕN quick v<sub>2</sub> The V1 CRIBE STAMPS

Discrete prompts:

> Operate in the model input, task specified in natural language.

> Human readable but requires human expertise in prompt designing.

Soft prompts:



# Foundation Model (680,000h data)

► The ASR module is essential for auto-marking and providing feedback.

## **Motivation**

- For assessment we want to know exactly what was said.
- Problems of Whisper output:
  - ▷ The output is human-readable, i.e. punctuation is added, and numbers are presented in Arabic numeric format.
  - ▷ The model has a tendency to skip disfluencies and hesitations.

Table: Typical ASR error types made by Whisper: 1) abbreviation in red; 2) disfluency (false start and repetition) in blue; 3) hesitation in pink; 4); number in purple and 5) partial word in orange.

## Type Sentence

mister lee when you arrive you could uh we could take the most the most cheap park zone blue zone Ref

- Operate in the embedding space, continuous vectors are concatenated with the token embeddings and optimised via gradient descent.
- > The original model parameters are fixed and only a small number of prompt parameters are learned (15KB vs 244MB).

## Linguaskill Dataset

- ► A submission includes various tasks including reading aloud, describing pictures, and speaking freely on a given topic.
- Linguaskill General focuses more on everyday conversation while Linguaskill Business puts emphasis on business situations.
- Each of the test sets contains around 8h speech data. We randomly sample 17h data as the training set.

## Case Analysis

#### Table: Case analysis on Ling\_general (**Errors in red**).

Туре	Example
Ref	%hes% i think i'm not i'm not really denominal maybe %hes% one hundred because i'm not i'm not like shopping
Baseline	***** i think i'm not i'm not really the nominal maybe ***** a 100 because *** *** i'm not like shopping
FT	%hes% i think i'm not i'm not really denominal maybe %hes% one hundred because i'm not i'm not like shopping
SPT	%hes% i think i'm not i'm not really the nominal maybe %hes% one hundred because i'm not i'm not like shopping

it costs **um twenty dollar** p- per week

Mr. Lee, when you arrive, \*\*\* \*\*\*\*\* \*\* we could Hyp take \*\*\* \*\*\*\* the most cheap Park zone, blue zone. It costs **\*\* \$20 \*\*\*\*\* \*\*** per week.

## **Proposed Method: Fine-Tuning (FT)**



#### **Experimental Results**

Table:	Word	counts	and	overall	recall	on	Ling_genera	Ι.
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Word Tupo	$C_{all}$	$C_{correct} \uparrow$				
vvoru rype	Ref	Baseline	FT	SPT		
Hesitation	2661	5	2213	2267		
Number	421	220	388	381		
Abbreviation	18	17	17	17		
Disfluency	2201	583	1935	1938		
Partial Words	358	0	55	51		
Recall All	_	15.4%	82.1%	82.9%		

Table: Overall Speech WER results and breakdown of different error types.

Ling gonoral	

Figure: Whisper model architecture from the original paper.

Model WER Del Sub WER Sub Ins Del Ins 10.7 1.3 16.4 5.8 16.6 1.4 23.9 4.5 Baseline FT 9.7 2.1 1.78.1 5.0 2.4 2.3 4.3 SPT 2.8 8.9 5.3 2.5 11.0 4.4 3.2 1.7

## Conclusions

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- The output of Whisper is designed to be human-readable, which is not helpful for building a spoken language assessment system.
- We propose two solutions: fine-tuning and soft prompt tuning.
- Results on Linguaskill show we can effectively alter the decoding behaviour of Whisper to generate the exact spoken words.